

## **CBHC BOARD POSITION STATEMENT 9.0**

**Criminal Justice System Transformation** 

#### **Position Statement**

Colorado's criminal justice system must be reformed to address serious public safety concerns, while deferring to the behavioral health system to provide needed treatment and support to individuals living with mental health and substance use disorders

# **Background**

According to a study done by the researchers at the Schar School of Policy and Government at George Mason University, there are nearly 10 time as many individuals with serious mental illnesses in prisons and jails than in state psychiatric hospitals. In December 2021, there were 11,765 adult inmates in Colorado state prisons, with 47.8% of those incarcerated in need of mental health support and 1,303 experiencing a serious mental illness (DOC). The state prison system also housed 10,611 inmates who were experiencing substance abuse needs.¹ Criminal justice systems across the nation are facing difficulties in managing high numbers of individuals with mental health and substance use disorders. Further, it is well established that people of color are overrepresented in the criminal justice system and experience significant health disparities including access to behavioral health treatment.

Enhancing social responses can be an effective way to protect and benefit communities when responding to behavioral health related concerns. Mobile response programs have been shown to effectively divert people in crisis from the criminal justice system and emergency departments by linking people in crisis to the correct behavioral health services. While co-responder programs have been shown to improve interactions with people in behavioral health crises and improve law enforcement relationships with their communities. Law enforcement involvement often leads to a path of recidivism for most individuals struggling with mental health disorders, escalating behavioral health symptoms. Nationally, behavioral health providers are increasingly recognized as crucial partners in responding to behavioral health concerns so the burden can be lifted off law enforcement agencies.

CBHC is dedicated to working on policy, legislation, and initiatives that help to fill gaps in the system, leveraging existing resources, ensuring individuals with mental health and substance use disorders have access to treatment, and dramatically reducing community reliance on CJ systems and traditional use of law enforcement teams. CBHC uses the *GAINS Center Sequential Intercept Model* (Appendix A) as a guide for developing policy and partnerships with criminal justice system entities and thinking creatively about how to get further upstream to redirect people in need of treatment who, historically, were likely to interact with law enforcement. Across each intercept, CBHC supports policies that enhance the safety net system's ability to participate in social response models that treat, rather than criminalize, the symptoms of behavioral health disorders.

Like many other states, Colorado communities were often challenged to find appropriate levels of care for individuals who were experiencing a behavioral health crisis. This led to an over-reliance on peace officers to manage these

<sup>&</sup>lt;sup>1</sup> Statistics | Department of Corrections (colorado.gov)

encounters, which all too often results in individuals in crisis not accessing treatment, jeopardizing personal liberties in the process, and overburdening the criminal justice system and local resources. In 2017, CBHC led a coalition to pass legislation to end the practice of placing individuals in need of an emergency mental health hold in jails and correctional facilities as a first step towards decriminalizing mental illness in our state. Since then, Colorado has seen the expansion of co-response programs and other innovative partnerships in both rural and urban communities. These initiatives, and others, coalesce towards a vision of ensuring that behavioral health concerns are managed by the healthcare system, and not law enforcement.

Criminal justice system reform must focus on enacting policies and legislation that promote early intervention and the use of behavioral health professionals as the primary first responders to behavioral health crises.

CBHC is committed to shifting the management of behavioral health crises back to healthcare providers. only by doing this, we will begin to correct course and ensure that Colorado is expanding pathways to treatment services rather than funneling individuals into the CJ system. By building on prevention and diversion efforts already ongoing across our membership, we can ensure that individuals are set on the right trajectory for recovery, have a clean legal record, and avoid the life-long burden of criminal justice involvement. Across every region of the state, and at every step of the Sequential Intercept Model, CBHC's members are treating high risk and justice-involved individuals and leveraging partnerships with local entities to address community-specific challenges.

# **Policy Priorities**

## 10.10 A Public Health Approach to Substance Use Disorders

Individuals who are living with substance use disorders are at a greater risk of becoming involved in the justice system due to the criminalization of illicit substances. To promote an understanding of substance use disorders within the realm of healthcare, a public health approach and understanding is necessary.

- CBHC supports policies and legislation that decriminalize substance use disorders.
  - Appropriate examination of scheduling and sentencing regarding illicit substances
  - Clear avenues for discretion or diversion for individuals when substance use disorder is a primary factor in their criminal activity
  - Development of social response strategies for drug-related social disturbances that minimize the risk of avoidable arrest, enhance pathways to care and treatment, and avoid violent escalation of drug use intervention
- CBHC supports policies that increase access to Medication Assisted Treatment for individuals with substance use disorders throughout each of the intercepts on the Sequential Intercept Model.

## 10.1 Safety-Net Community Services for Resilience and Prevention of Criminal Justice Involvement

Law enforcement involvement with persons experiencing behavioral health disorders can lead to recidivism as well as high costs to the criminal justice system associated with behavioral health. Investing in safety-net community behavioral health services can lead to better outcomes for individuals with mental health disorders and lower costs to the overall system.

- CBHC supports policies that increase access to treatment and develop responsive community healthcare systems that support individuals as they build their own resiliency to avoid reliance on the criminal justice system.
  - o Create public education campaigns to increase resilience in youth and adults

- o Promote community wellness, and implement protective factors
- o Collaboration between systems to increase access to care and treatment at early stages of identification
- CBHC supports policies that incorporate and invest in behavioral health professionals in communities' social response strategies, such as:
  - Investments in primary response by behavioral health professionals for behavioral health related public safety concerns within organized partnerships with first responders as necessary
  - The promotion of Crisis Intervention Training (CIT), Mental Health First Aid (MHFA), and other training programs for first responders
  - Co-location of behavioral health providers in first responder and dispatch systems
  - Collaboration with Emergency Medical Services (EMS), fire departments, transportation and other systems that commonly interact with individuals who live with Serious and Persistent Mental Illness (SPMI) and otherwise high-risk populations
- CBHC supports social response models that are adequately invested in to provide effective options for communities for a range of behavioral health related public disturbances without placing the burden on criminal justice systems.
  - o Investments in unarmed, unmarked social response teams that leverage the skills and expertise of behavioral health professionals to de-escalate, manage, and triage public disturbances or disputes
  - Policy reform to prioritize the utilization of social response models over forensic or other armed response models whenever appropriate and safe, such as 911/dispatch re-routing and mobile team dispatch

## 10.2 Investment in Safety-Net Assisted Diversion and Social Response

Throughout our work in criminal justice system reform, we focus our efforts on policies and legislation that promote early intervention and diversion and ensure access to treatment prior to - or during - the first contact with law enforcement. Above all, we prioritize solutions that minimize law enforcement burden of healthcare response.

- \* CBHC supports policies that reduce avoidable criminal charges on behaviors that are directly related to an individual's behavioral health disorder, such as:
  - Promotion of collaborative co-responder programs that leverage joint ownership of operations by community providers and law enforcement
  - Issuance of citations, referrals, and connections to treatment in lieu of arrest or charges
  - Collaboration with the judicial system and jails to implement post-arrest diversion prior to the filing of charges
  - Creating programs that focus on triage and diversion to treatment for high-risk or high-utilizer individuals, such as Law Enforcement Assisted Diversion (LEAD) models
- CBHC supports first-responder trainings that focus on de-escalation strategies, culturally competent responses, and trauma informed responses that produce better outcomes and interactions with individuals living with behavioral health disorders, such as:
  - Utilizing CIT training, Mental Health First Aid for first responders, ASIST and other evidence-supported education models that build efficacy and specialization within first responders
  - Partnerships with local community mental health organizations that facilitate education, trainings,
    consultations, and joint decision making to achieve locally responsive alternatives to criminalization
- CBHC supports enhanced standardized education, specialization opportunities, and career pathways for the behavioral health workforce that participate in social response models and forensic services, such as:

- Education programs and curricula that include forensic and crisis services
- Enhanced opportunities for field experience in established and emerging social response, co-response, and diversion models
- Programs that create growth opportunities that reward specialization and specialization pathways for experts and emerging clinicians who participate in social response models and forensic services

## 10.3 School-to-Prison Pipeline

To ensure positive outcomes for Colorado's youth, it is important that systems are equipped to promote psychosocial protective factors and ensure equitable access to education and appropriate discipline strategies.

- CBHC supports policies that incentivize positive behavior and intervention strategies over disciplinary actions at schools and other child serving entities, such as:
  - Removal of expulsion as a disciplinary option for students up to the age of entry into middle school
  - Promotion of alternative disciplinary strategies that minimize stigma and leverage strengths that are responsive to a youth's unique needs
  - Training for educators and school systems on culturally responsive corrective behavioral methods
  - Eliminate the use of school-resource officers or other safety strategies that have-inequitable impacts on youth of color and youth living with emotional disturbances.
  - Invest in school wellbeing resources such as school social workers, school-based behavioral health clinicians who can offer counseling and effective education, and other necessary social supports.

#### 10.4 Juvenile Services

When youth fall through the cracks of our community systems and require juvenile supervision and correction services, we focus on policies that prioritize rehabilitation, treatment, and restorative justice.

CBHC supports treatment-focused juvenile offender systems that prioritize education, rehabilitation, treatment, skills-development, and reintegration into community of choice.

## 10.5 No-Plea Problem Solving Courts

To ensure the best possible outcomes for individuals with behavioral health conditions, it is important to focus on social determinants of health such as housing and employment. Criminal convictions and charges are a common, and often impassible barrier to achieving recovery and community integration.

- CBHC opposes the requirement of a guilty plea to participate in mental health diversion, drug treatment diversion, and other specialty court programs, such as:
  - o Charges for minor crimes and a criminal record that are not necessary for public safety
- CBHC supports collaborative programming between judicial systems and community providers that leverage relationships between providers, district attorneys, public defenders, judges, and law enforcement, such as:
  - Co-location, co-ownership, and other strategies that promote every level of the organizations working collaboratively to effectively create long lasting change and positive programs

## 10.6 Outpatient Community-Based Restoration Services

Competency restoration services are most effective when provided in tandem with behavioral health treatment as necessary. A whole person approach to restoration should be prioritized.

- **CBHC** supports the promotion of outpatient community-based restoration services, such as:
  - o Judicial authority and education to prioritize outpatient restoration as appropriate
  - Collaboration between criminal justice partners and community providers to ensure that outpatient services are, when possible, part of a whole-person treatment plan
- CBHC opposes policies that increase the criminal justice system's reliance on competency to proceed, such as:
  - Programs or initiatives that divert individuals with mental illnesses into treatment too late or past the point where competency has been raised and are not effective

CBHC supports the standardization of the competency restoration process for outpatient and inpatient services

#### 10.7 Behavioral Health Treatment in Correctional Facilities

A rehabilitative approach should be prioritized for individuals who live with a mental health or substance use disorders and have been found guilty of a crime warranting a correctional level of supervision.

- CBHC supports policies and funding mechanisms to leverage partnerships between community providers and criminal justice systems, such as:
  - o The Jail Based Behavioral Services (JBBS) program
  - Partnerships for treatment to facilitate smooth connections to community providers, treatment, and supervision
  - o Partnerships to maximize connection to coverage as appropriate (e.g., Suspended Medicaid Status)
- CBHC supports prioritization of rehabilitative practices within correctional facilities such as:
  - Access to treatment, skill building groups, and release planning
  - Medication supervised by external psychiatrists, especially if administrated involuntarily
  - Minimal reliance on solitary confinement for individuals experiencing mental health crises
- CBHC supports policies that leverage partnerships between criminal justice systems and community providers to increase efficacy and education, such as:
  - Mental Health First Aid or similar training should be made available to the correctional workforce.

#### 10.8 Re-Entry Supports and Services

In preparation for and during the process of release from correctional supervision it is critical that an individual's multifaceted needs are met with a collaborative approach.

- CBHC supports policies that focus on partnerships between community behavioral health providers and criminal justice systems to prepare formerly incarcerated individuals for re-integration into community living, such as:
  - Partnerships with release planning teams within facilities to facilitate proactive appointments, care coordination, medication management, and other critical preparations
  - Partnerships between community leaders to navigate factors that impact people's social determinants of health (e.g., housing initiatives) that promote individual wellness and reduce risk of recidivism

 Amendments for parole officer credential requirements that allow social workers and other behavioral health professionals to serve as a parole officer, providing expansion in the role outside of law enforcement

## 10.9 Community Corrections

Community Corrections provides a setting for low-risk populations to receive supervision while engaging in community activities (e.g., employment and family duties) and preparing for full re-integration. This level of care is most effective when collaboration with community behavioral health systems is in place.

- CBHC supports policies that focus on appropriate partnerships between community behavioral health organizations and Community Corrections to facilitate successful re-entry and care coordination, such as:
  - o Collaboration between case management and care coordination teams across systems
  - o Partnerships that leverage joint resources and funding opportunities

#### **Effective Period**

The Colorado Behavioral Healthcare Council (CBHC) Board of Directors approved this policy on 01/18/2018. It is reviewed as required by the Public Policy Advisory Committee.

## **Policy Updated**

Updates to this policy position were approved by the CBHC Board of Directors on 10/6/2020.

**Expiration: 10/6/2022** 

# APPENDIX A: GAINS Center Sequential Intercept Model<sup>2</sup>

# **The Sequential Intercept Model**

Developed by Mark R. Munetz, MD, and Patricia A. Griffin, PhD, in conjunction with the GAINS Center, the Sequential Intercept Model provides a conceptual framework for communities to organize targeted strategies for justice-involved individuals with behavioral health disorders. Within the criminal justice system there are numerous intercept points — opportunities for linkage to services and for prevention of further penetration into the criminal justice system.

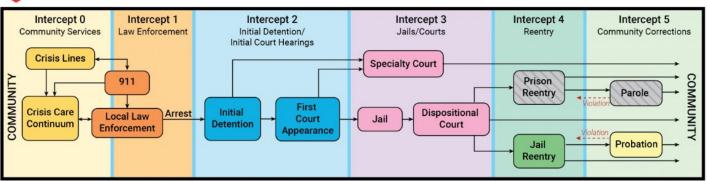
The Sequential Intercept Model has been used as a focal point for states and communities to assess available resources, determine gaps in services, and plan for community change. These activities are best accomplished by a team of stakeholders that cross over multiple systems, including mental health, substance abuse, law enforcement, pre-trial services, courts, jails, community corrections, housing, health, social services, peers, family members, and many others.

#### The GAINS Center

SAMHSA's GAINS Center for Behavioral Health and Justice Transformation serves as a resource and technical assistance center for policy, planning, and coordination among the mental health, substance abuse, and criminal justice systems. The GAINS Center's initiatives focus on the transformation of local and state systems, jail diversion policy, and the documentation and promotion of evidence-based and promising practices in program development. The GAINS Center is funded by the Substance Abuse and Mental Health Services Administration. It is operated by Policy Research Associates, Inc., of Delmar, NY

## **Model Excerpt:**





Abreu, D., Parker, T. W., Noether, C. D., Steadman, H. J., & Case, B. (2017). Revising the paradigm for jail diversion for people with mental and substance use disorders: Intercept 0. Behavioral Sciences & the Law, 35(5-6), 380-395. https://doi.org/10.1002/bsl.2300

<sup>&</sup>lt;sup>2</sup> (2018) Using the Sequential Intercept Model to Guide Local Reform, <a href="http://www.safetyandjusticechallenge.org/wp-content/uploads/2018/10/2018.10.11\_Using-the-SIM\_finalized.pdf">http://www.safetyandjusticechallenge.org/wp-content/uploads/2018/10/2018.10.11\_Using-the-SIM\_finalized.pdf</a>